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PTO/SB/21 (08-00)

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<h1 style="margin: 0;">TRANSMITTAL FORM</h1> <p style="font-size: small;">(to be used for all correspondence after initial filing)</p> <div style="border: 1px solid black; border-radius: 50%; width: 100px; height: 100px; margin: 10px auto; display: flex; align-items: center; justify-content: center;"> <div style="writing-mode: vertical-rl; transform: rotate(180deg); font-size: x-small;">PATENT &amp; TRADEMARK OFFICE</div> <div style="text-align: center;"> <p style="font-size: x-small;">O I P E</p> <p style="font-size: x-small;">JUL 30 2001</p> </div> </div>	Application Number	09/887,776
	Filing Date	Jun 21, 2001
	First Named Inventor	Zimmermann, Philip
	Group Art Unit	2131
	Examiner Name	Unassigned
Total Number of Pages in This Submission		7
Attorney Docket Number		002.0218.01

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ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="margin-top: 5px;"> <input type="checkbox"/> Request for Corrected Filing Receipt  <input type="checkbox"/> Copy of Patent Application Transmittal  <input type="checkbox"/> Copy of Filing Fee Payment Check  <input type="checkbox"/> Postcard         </div>
<div style="border: 1px solid black; display: inline-block; width: 150px; height: 20px; vertical-align: top;">Remarks</div>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Law Offices of Patrick J.S. Inouye
Signature	 <div style="text-align: right; font-weight: bold; font-size: 1.2em;">22895</div>
Date	7/24/01



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CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: <span style="border: 1px solid black; padding: 0 20px;">7/24/01</span>	
Type or printed name	Casey Leichter
Signature	
Date	7/24/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Patent Application  
Docket No. 002.0218.01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of  
Zimmermann

Serial No. 09/887,776

Filed: June 21, 2001

For: Cryptographic System with Methods for  
User-Controlled Message Recovery

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)  
) Examiner: *Unassigned*  
)  
) Group: 2131  
)  
)  
)

REQUEST FOR CORRECTED FILING RECEIPT

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Assistant Commissioner for Patents  
Washington, D.C. 20231

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Sir:

Enclosed is a copy of the official Filing Receipt for the above-identified patent application, which has been marked in red ink to identify the corrections needed. Copies of the Patent Application Transmittal Sheet and filing fee payment check, verifying the correct information, are also enclosed. The corrections are as follows:

Under Fil Fee Rec'd, replace "1364" with --1760--.

Under Tot Claims, replace "43" with --50--.

☒ No fee is due with this communication. The requested corrections are due to USPTO error.

☐ Pursuant to 37 C.F.R. 1.19(h), the statutory fee of \$25.00 is enclosed.

Issuance of a corrected Filing Receipt is respectfully requested.

Respectfully submitted,

Dated:

*July 24, 2001*

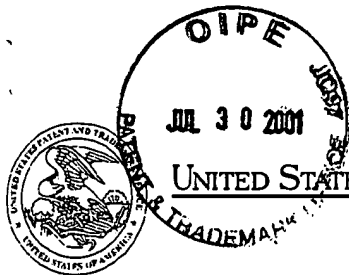
By:

*Patrick J.S. Inouye*

Patrick J.S. Inouye, Reg. No: 40,297

The Law Offices of Patrick J.S. Inouye  
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Seattle, WA 98104  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
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09/887,776

06/21/2001

2131

1364

002.0218.01

14

43

6

1760

CONFIRMATION NO. 9088

## FILING RECEIPT



\*OC00000006303105\*

The Law Offices of Patrick J.S. Inouye  
Suite 258  
810 Third Avenue  
Seattle, WA 98104

Date Mailed: 07/17/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Philip Zimmermann, Menlo Park, CA;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/090,771 06/04/1998  
WHICH CLAIMS BENEFIT OF 60/048,787 06/06/1997  
AND CLAIMS BENEFIT OF 60/053,523 07/22/1997

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## Foreign Applications

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If Required, Foreign Filing License Granted 07/16/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

## Title

Cryptographic system with methods for user-controlled message recovery

## Preliminary Class

380

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Data entry by : PRASASOUK, NOUSALY

Team : OIPE

Date: 07/17/2001





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Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
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- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
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Any corrections that may need to be done to your Filing Receipt should be directed to:

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## UTILITY PATENT APPLICATION TRANSMITTAL

(New Nonprovisional Applications Under 37 CFR § 1.53(b))

Attorney Docket No.

002.0218.01

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is the patent application of ( ) application identifier or (X) first named inventor, Philip Zimmermann, entitled Cryptographic System with Methods for User-Controlled Message Recovery, for a(n):

( ) Original Patent Application.

(X) Continuing Application (prior application not abandoned):

(X) Continuation ( ) Divisional ( ) Continuation-in-part (CIP) of prior application No: 09/090,771 Filed on: June 4, 1998; a conversion of prior provisional applications No. 60/048,787, filed on June 6, 1997 and No. 60/053,523, filed on July 22, 1997.

(X) A statement claiming priority under 35 USC § 120 has been added to the specification.



22895

PATENT TRADEMARK OFFICE

**COPY**

Enclosed are:

(X) Specification; 38 Total Pages.(X) Drawing(s); 14 Total Sheets.

(X) Oath or Declaration:

( ) A Newly Executed Combined Declaration and Power of Attorney:

( ) Signed.

( ) Unsigned.

( ) Partially Signed.

(X) A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).

(X) Incorporation by Reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated herein by reference.

( ) Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2)).

(X) Microfiche Appendix of Computer Code.

(X) Return Receipt Postcard.

(X) Transmittal of Microfiche Appendix.

(X) A Check in the amount of \$1760 for the Filing Fee.

( ) Preliminary Amendment.

(X) Information Disclosure Statement and Form PTO-1449.

(X) Transmittal of Formal Drawings.

(X) Assignment Recordation and Check for \$40 Filing Fee.

(X) A Duplicate Copy of this Form for Processing Fee Against Deposit Account.

(X) Merger Recordation and Check for \$40 Filing Fee.

(X) Request and Certification Under 35 USC 122(b)(2)(B)(i)

( ) Other: \_\_\_\_\_

CLAIMS AS FILED				
FOR	NO. FILED	NO. EXTRA	RATE	FEE
Total Claims	50	30	\$18.00	\$ 540.00
Independent Claims	6	3	\$80.00	\$ 240.00
Multiple Dependent Claims (if applicable)				\$270.00
Assignment Recording Fee				\$0.00
Basic Filing Fee				\$710.00
Total Filing Fee				\$1,760.00

Charge \$ \_\_\_\_\_ to Deposit Account 501144 pursuant to 37 CFR § 1.25. At any time during the pendency of this application, please charge any fees required or credit any overpayment to this Deposit Account.

Respectfully submitted,

By: \_\_\_\_\_

Patrick J.S. Inouye, Esq., Attorney of Record  
Reg. No. 40297

Date: June 21, 2001

Correspondence Address:

The Law Offices of Patrick J.S. Inouye  
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Seattle, WA 98104  
Phone: (206) 381-3900  
Fax: (206) 381-3999

I hereby certify that this is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to:

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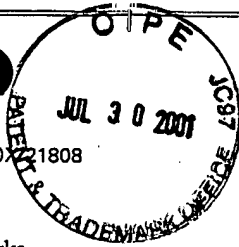
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*Patrick J. Inoue*

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PATRICK J.S. INOUE, P.S.

Commissioner of Patents & Trademarks

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